## JAP15 Rec'd PCT/PTO 17 JUL 2006

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TRANSMITTAL LETTER TO THE UNITED STATES Attorney Docket No. UM-13017 DESIGNATED/ELECTED OFFICE (DO/EO/US) **CONCERNING A FILING UNDER 35 U.S.C. 371** International Filing Date: Priority Date Claimed: International Application No. PCT/US2005/001406 18 January 2005 (18.01.05) 16 January 2004 (16.01.04)

Title Of Invention: Conformationally Constrained SMAC Mimetics and the Uses Thereof

## APPLICANT(S) FOR DO/EO/US WANG, Shaomeng, et al.

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

- 1. ☑ This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.
- 2. This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.
- 3. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
- 4. 

   The US has been elected (Article 31).
- 5. 

  A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a.  $\Box$  is attached hereto (required only if not communicated by the International Bureau).
  - b. B has been communicated by the International Bureau.
  - c.  $\Box$  is not required, as the application was filed in the United States Receiving Office (RO/US).
- 6. ☐ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
- 7. Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a. are attached hereto (required only if not communicated by the International Bureau).
  - b. D have been communicated by the International Bureau.
  - c. D have not been made; however, the time limit for making such amendments has NOT expired.
  - d. A have not been made and will not be made.
- 8. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)).
- 9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
- 10. An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

## Items 11 to 20 below concern document(s) or information included:

- 11. 

  An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
- 12. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
- 13. □ A preliminary amendment.
- 14. 

  An Application Data Sheet under 37 CFR 1.76.
- 15. ☐ A substitute specification.
- 16. ☐ A power of attorney and/or change of address letter.
- 17. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 1.825; Sequence Listing in Paper Copy; Certificate of Sequence Listing.
- 18. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).
- 19. A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
- 20. ☑ Other items or information: WO 2005/069894 A2; WO 2005/069894 A3 as republished; Written Opinion (Form PCT/ISA/237); International Search Report (Form PCT/ISA/210); Requested Corrections as filed on 29 March 2006.

U.S. Application 10/5	86271	Attorney's Docket No. UM-13017			
				CALCULATIONS	PTO USE ONLY
21.   Basic National Fee			\$ 300.00	\$300.00	
		\$200.00			
the international International Sea	FR1.445(a)(2)) has application to the larching Authority arch Report prepare	\$400.00			
٦	☐ All Other Situations \$ 500.00  Total of 21, 22 and 23 =				
Sheets of paper or fraction thereof.  Total Sheets Extra Sheets		Number of Additional or Fraction Thereof (round up to a whole number)		RATE	
<b>124</b> - 100 <b>24</b> /5	0 =	1 x 250		\$250.00	
Surcharge of \$130.00 for furn priority date (37 CFR 1.492(h		leclaration later	than 30 months fro	m the earliest claimed	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
TOTAL CLAIMS 40 - 20 =		20	x \$50.00	\$1,000.00	
INDEPENDENT CLAIMS 1 - 3 =		0	x \$200.00	\$0.00	
MULTIPLE DEPENDENT + \$360.00 CLAIM(S) (if applicable)		No	+ \$360.00	\$0.00	
•.	TOTAL OF	\$2,150.00			
Applicant claims small entrabove are reduced by 1/2.	ty status. See 37 C	FR 1.27. The fe	es indicated		
		\$1,075.00			
Processing fee of \$130.00 for months from the earliest claim		\$0.00			

U.S. Application No. PCT/US2005/001406			Attorney's Docket No. UM-13017			
		TOTAL NATIONAL FEE =	\$1,075.00			
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +			\$0.00			
		\$1,075.00				
			Amount To Be Refunded:	\$		
			Charged:	S		
<ul> <li>a.   A check in the amount of \$1,075.00 to cover the above fees is enclosed.</li> <li>b. □ Please charge any my Deposit Account No. 08-1290 in the amount of \$ to cover the above fees. A duplicate copy of this sheet is enclosed.</li> <li>c. □ Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.</li> <li>d. ☑ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Deposit Account No. 08-1290. A duplicate copy of this sheet is enclosed.</li> </ul>						
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the application to pending status.						
Send All Correspondence To:						
Robert A. GOETZ  MEDLEN & CARROLL, LLP  101 Howard Street, Suite 350  San Francisco, California 94105  Phone: 415-904-6500  Fax: 415-904-6510  Date						